

Verification Report No. CANEC2306626406 Date: 19 May 2023 Page 1 of 7

Client Name: SUNTAN TECHNOLOGY COMPANY LIMITED

Client Address: UNIT H, 4/F., DORMIND INDUSTRIAL BUILDING, 13 YIP FUNG STREET, FANLING, N.T., HONG

**KONG** 

Sample Name: ALUMINUM ELECTROLYTIC CAPACITORS

The above sample(s) and information were provided by the client.

SGS Job No. : CP23-020787 - GZ

Date of Sample Received: 06 May 2023

Verification Period: 06 May 2023 - 17 May 2023

Verification Requested: With reference to RoHS Directive (EU) 2015/863 amending 2011/65/EU.

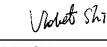
Verification Method(s): Please refer to next page(s).

Verification Result(s): Please refer to next page(s).

**Test Result Summary** 

Test Items	Conclusion
EU RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU-	PASS
Lead, Mercury, Cadmium, Hexavalent chromium, Polybrominated biphenyls	
(PBBs), Polybrominated diphenyl ethers (PBDs), Bis(2-ethylhexyl) phthalate	
(DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl phthalate (DIBP)	

Signed for and on behalf of SGS-CSTC Standards Technical Services Co., Ltd. Guangzhou Branch



Violet,Shi

Approved Signatory



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN\_Doccheck@sgs.com

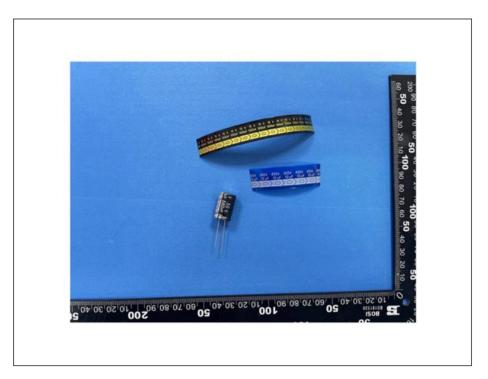


No. CANEC2306626406

Date: 19 May 2023

Page 2 of 7

### Photo of Submitted Sample



### Verification Method(s):

- 1. With reference to IEC 62321-2:2021, disassembly and disjointment were performed for the submitted samples.
- 2. With reference to IEC 62321-1:2013, tests were performed for the samples indicated by the photos in this report (1) With reference to IEC 62321-3-1:2013, screening by EDXRF spectroscopy.
- (2) Wet chemical test method: With reference to IEC 62321-4:2013+A1:2017, IEC62321-5:2013, IEC 62321-7-1:2015, IEC 62321-7-2:2017, ISO 17075-1:2017, IEC 62321-6:2015 and IEC62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS.

#### **Verification Part Description:**

SN ID	Sample No	SGS Sample ID	Description		
SN1	A1	CAN23-066264.001	Black plastic shell with white printing		
SN2	A2	CAN23-066264.002	Silvery metal shell		
SN3	A3	CAN23-066264.003	Black rubber cover		
SN4	A4	CAN23-066264.004	Brown paper sheet with liquid		
SN5	A5	CAN23-066264.005	005 Silvery metal sheet		
SN6	A6	CAN23-066264.006	Silver-gray metal sheet		
SN7	A7	CAN23-066264.007	Silvery metal pin		



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@egs.com

No.198、Kezhu Road, Science City, Economic & Technological Development Area, Guangzhou, Guangdong, China 510663 中国・广东・广州高新技术产业开发区科学城科珠路198号 邮编: 510663 t (86–20) 82155555 t (86–20) 82155555



No. CANEC2306626406

Date: 19 May 2023

Page 3 of 7

SN ID	Sample No	SGS Sample ID	Description
SN8	A8	CAN23-066264.008	Black plastic sheet with golden printing
SN9	A9	CAN23-066264.009	Blue plastic sheet with white printing



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com



No. CANEC2306626406

Date: 19 May 2023

Page 4 of 7

#### Verification Results:

In accordance with the result of material risk assessment, the following disjointed parts in the submitted sample have been verified. (Unless otherwise specified, the unit is mg/kg).

Test Item(s)	A1	A2	A3	A4	<b>A</b> 5	A6	A7	A8
Pb	BL	BL	BL	BL	BL	BL	BL	BL
Cd	BL	BL	BL	BL	BL	BL	BL	BL
Hg	BL	BL	BL	BL	BL	BL	BL	BL
Cr(VI)▼	BL	BL	BL	BL	BL	BL	BL	BL
PBBs	BL		BL	BL				BL
PBDEs	BL		BL	BL				BL
DBP	BL		ND	BL				BL
BBP	BL		ND	BL				BL
DEHP	BL		ND	BL				BL
DIBP	BL		ND	BL				BL
Conclusion	PASS	PASS	PASS	PASS	PASS	PASS	PASS	PASS

Test Item(s)	A9		
Pb	BL		
Cd	BL		
Hg	BL		
Cr(VI)▼	BL		
PBBs	BL		
PBDEs	BL		
DBP	BL		
BBP	BL		
DEHP	BL		
DIBP	BL		
Conclusion	PASS		



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com



No. CANEC2306626406

Date: 19 May 2023 Page 5 of 7

#### Notes:

- (1) Interpretation of screening results by X-ray fluorescence spectrometry (XRF):
  - (a) Screening limits in mg/kg for regulated elements in various matrices according to IEC 62321-1:2013 Annex A as below table.

Element	Polymer	Metal	Composite Materials		
Cd	BL ≤(70-3σ)< X <(130+3σ)≤OL	BL ≤(70-3σ)< X <(130+3σ)≤OL	LOD < X <(150+3σ)≤ OL		
Pb	BL ≤(700-3σ)< X<(1300+3σ)≤ OL	BL ≤(700-3σ)< X <(1300+3σ)≤ OL	BL ≤(500-3σ)< X<(1500+3σ)≤ OL		
Hg	BL ≤(700-3σ)< X <(1300+3σ)≤ OL	BL ≤(700-3σ)< X <(1300+3σ)≤ OL	BL ≤(500-3σ)< X <(1500+3σ)≤ OL		
Br	BL ≤ (300-3σ)< X	Not applicable	BL ≤ (250-3σ)< X		
Cr	BL ≤ (700-3σ)< X	BL ≤ (700-3σ)< X	BL ≤ (500-3σ)< X		

- (b) If the maximum allowed level restricts PBB/PBDE and Cr(VI) rather than Br and Cr, the exceptions are the XRF determinations of Br and Cr. If the quantitative results for the elements Br and/or are higher than the limit (for Br calculated based on the stoichiometry of Br in the most common congeners of PBB/PBDE), the sample is "inconclusive".
- (c) Results are obtained by EDXRF for primary screening, LOD = Limit of Detection, BL = Below Limit, OL = Over Limit, IN (The symbol X marks the region)= Inconclusive, where further investigation is necessary, and further chemical testing by ICP-OES (for Cd, Pb, Hg), UV-Vis (for Cr(VI)) and GC-MS (for PBBs/PBDEs) are recommended to be performed.
- (d) The EDXRF screening test for RoHS elements The reading may be different to the actual content in the sample be of non-uniformity composition.
- (2) Screening results of Phthalates (PHTH) are for primary screening, and further chemical testing by GC-MS (for DBP, BBP, DEHP and DIBP) are recommended to be performed if the concentration exceeds the below warning value (unit: mg/kg).

Test Items	CAS No.	Polymer/ Composite Materials
Dibutyl Phthalate (DBP)	84-74-2	BL ≤ 600< X
Benzylbutyl Phthalate (BBP)	85-68-7	BL ≤ 600< X
Bis(2-ethylhexyl) Phthalate (DEHP)	117-81-7	BL ≤ 600< X
Diisobutyl Phthalate (DIBP)	84-69-5	BL ≤ 600< X

(3) Interpretation of results by chemical tests:

(a) mg/kg = 0.0001%, MDL=Method detection Limit, ND = Not Detected (<MDL), --- = Not Applicable.



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, and the content of the



No. CANEC2306626406

Date: 19 May 2023 Page 6 of 7

#### (b) Unit and MDL in wet chemical test

Test Items	Pb	Cd	Hg	DBP	BBP	DEHP	DIBP
Unit	mg/kg						
MDL	10	10	10	100	100	100	100

The MDL for single compound of PBBs and PBDEs is 100 mg/kg.

MDL of Cr(VI) for polymer, composite and leather sample is 10 mg/kg.

MDL of Cr(VI) for metal sample is 0.10µg/cm<sup>2</sup>.

- (c) =Metal sample
  - a. The sample is positive for Cr(VI) if the Cr(VI) concentration is greater than 0.13μg/cm<sup>2</sup>.
     The sample coating is considered to contain Cr(VI)
  - b. The sample is negative for Cr(VI) if Cr(VI) is ND (concentration less than  $0.10\mu g/cm^2$ ). The coating is considered a non-Cr(VI) based coating
  - c. The result between 0.10µg/cm<sup>2</sup> and 0.13µg/cm<sup>2</sup> is considered to be inconclusive
    - unavoidable coating variations may influence the determination

Information on storage conditions and production date of the tested sample is unavailable and thus Cr(VI) results represent status of the sample at the time of testing.

- (4) Restricted substances and maximum concentration values tolerated by weight in homogeneous materials under RoHS Directive: Cd: 0.01%, Pb/Hg/Cr(VI)/PBBs/PBDEs/DEHP/DBP/BBP/DIBP: 0.1%. The limit is quoted from RoHS Directive (EU) 2015/863.
- (5) IEC 62321 series is equivalent to EN 62321 series.
- (6) Results & Photos of this report refer to verification report CANEC2306626401.
  Unless otherwise stated, the decision rule for conformity reporting is based on Binary Statement for Simple Acceptance Rule ( w=0) stated in ILAC-G8:09/2019.



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

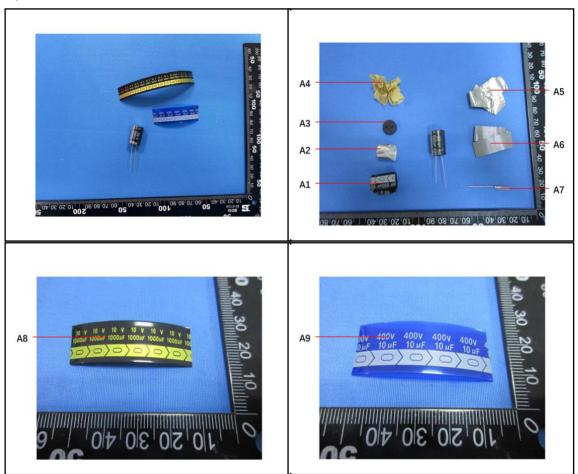
Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone (86-755) 8307 1443, testing /inspection report & certificate, please contact us at telephone (86-755) 8307 1443, testing



No. CANEC2306626406

Date: 19 May 2023 Page 7 of 7

### Sample photo:



SGS authenticate the photo on original report only

\*\*\* End of Report \*\*\*



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <a href="https://www.sgs.com/en/Terms-and-Conditions">https://www.sgs.com/en/Terms-and-Conditions</a>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com